

01-14-02

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jc971 U.S. PTO  
10/045178  
01/11/02

January 11, 2002

Attorney Docket No.: 06666-022002

**Box Patent Application**  
Commissioner for Patents  
Washington, DC 20231

Presented for filing is a new continuation patent application of:

**Applicant:** NORIYUKI KASAHARA, CHRISTOPHER LOGG AND W. FRENCH  
ANDERSON

**Title:** GENE DELIVERY SYSTEM AND METHODS OF USE

The prior application is assigned of record to University of Southern California, by virtue of an assignment submitted to the Patent and Trademark Office and recorded on December 7, 1999 at 010446/0471.

Enclosed are the following papers, including those required to receive a filing date under 37 CFR §1.53(b):

	<u>Pages</u>
Specification	79
Claims	11
Abstract	1
Declaration	4
Drawing(s)	16

## CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EV 044489812 US

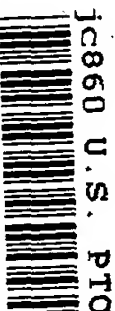
I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit January 11, 2002

Signature *Gabe Lewis*

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Typed or Printed Name of Person Signing Certificate

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Frederick P. Fish  
1855-1930

W.K. Richardson  
1859-1951



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Enclosures:

- Form PTO-1449, 2 pages and Form PTO-892, 1 page, listing the documents cited in the parent application(s). Please confirm that these have been considered in this application by returning a copy of the Form PTO-1449 with the examiner's initials.
- Preliminary amendment, 21 pages.
- Nucleotide and/or amino acid sequence listing including:  
Request under 37 CFR 1.821(e) To Transfer Computer Readable Form, 1 page  
Paper copy, 2 pages.
- Postcard.

This application is a continuation (and claims the benefit of priority under 35 USC 120) of U.S. application serial no. 09/409,650, filed October 1, 1999, which claims priority under 35 USC 119(e) to U.S. Provisional Patent Application No. 60/102,933, filed October 1, 1998. The disclosure of the prior application is considered part of (and is incorporated by reference in) the disclosure of this application.

Basic filing fee	\$370
Total claims in excess of 20 times \$9	\$0
Independent claims in excess of 3 times \$42	\$0
Fee for multiple dependent claims	\$0
Total filing fee:	\$370

A check for the filing fee is enclosed. Please apply any other required fees or any credits to deposit account 06-1050, referencing the attorney docket number shown above.

If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (858) 678-5070.

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January 11, 2002

Page 3

Kindly acknowledge receipt of this application by returning the enclosed postcard.

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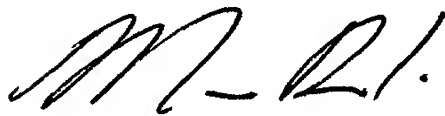
SCOTT C. HARRIS

Fish & Richardson P.C.

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Respectfully submitted,

A handwritten signature in black ink, appearing to read "M. Reed", with a stylized flourish at the end.

Michael Reed, Ph.D.

Reg. No. 45,647

Enclosures

MPR/lmb

10157717.doc

10157717.doc

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10/045178  
01/11/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Noriyuki Kasahara et al.      Art Unit : Unknown  
Serial No. : Unassigned      Examiner : Unknown  
Filed : January 11, 2002  
Title : GENE DELIVERY SYSTEM AND METHODS OF USE

**BOX PATENT APPLICATION**

Commissioner for Patents  
Washington, D.C. 20231

REQUEST UNDER 37 C.F.R. §1.821(e) TO TRANSFER  
COMPUTER READABLE FORM

The Sequence Listing being filed herewith in the above-referenced continuation application, is identical with that filed in U.S. Serial No. 09/409,650 from which this present application claims priority. In accordance with 37 C.F.R. §1.821(e), please use the computer readable form filed in U.S. Serial No. 09/409,650 as the computer readable form for the instant application.

For your convenience, enclosed is a paper copy of the Sequence Listing filed in U.S. Serial No. 09/409,650.

Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 1/11/02

Michael Reed, Ph.D.  
Reg. No. 45,647

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